

FEOC Compliance Certificate

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Issued: 2026-06-09

Verification ID: FEOC-23057B12968B

Source: live federal-list snapshot

SMIC Advanced Technology R&D (Shanghai) Corporation

China · COVERED NATION · Entity · ID: NK-o45k5BtY3U5R5g6zuyXxvn::BIS_ENTITY_LIST

FEOC FLAGGED

Why this matters: SMIC Advanced Technology R&D (Shanghai) Corporation appears on a critical federal screening list. Equipment, services, or transactions involving this entity likely fail FEOC requirements for IRA tax credits (45X, 48E, 30D). Confirm identity against the cited authority before procurement or compliance decisions.

FEDERAL-LIST SCREENING MATRIX

LIST	AUTHORITY	STATUS	LISTED AS	SEVERITY
OFAC SDN	Treasury OFAC	Not listed	—	CRITICAL
BIS Entity List	Commerce / BIS	Listed	SMIC Advanced Technology R&D (Shanghai) Corporation	CRITICAL
DOD 1260H (Chinese Military Companies)	DOD	Not listed	—	CRITICAL
UFLPA Entity List	DHS / FLETF	Not listed	—	CRITICAL
CBP Withhold Release Orders	DHS / CBP	Not listed	—	CRITICAL
BIS Denied Persons	Commerce / BIS	Not listed	—	HIGH
BIS Military End User	Commerce / BIS	Not listed	—	HIGH
BIS Unverified List	Commerce / BIS	Not listed	—	MEDIUM
State ITAR Debarred	State Department	Not listed	—	HIGH
State Nonproliferation	State Department	Not listed	—	HIGH

ALSO KNOWN AS

SMIC Advanced Technology · SMIC Advanced Technology Research & Development (Shanghai) Corporation · SMIC New Technology · SMIC New Technology R&D (Shanghai) Corporation · SMIC New Technology Research & Development · SMIC New Technology Research and Development (Shanghai) Corporation · Zhongxin Guoji Jicheng Dianlu Xinjishu Yanfa (Shanghai) Youxian Gongsi

KNOWN ADDRESSES

- 18 Zhangjiang Road, Pudong New Area, Shanghai
- 6th Floor, Building 3, No. 18, Zhangjiang Road, China (Shanghai) Pilot Free Trade Zone, 201203 Shanghai

SANCTIONS PROGRAMS / AUTHORITIES

US-BIS-EL

SANCTIONING AUTHORITY NOTES

Entity List (EL) - Bureau of Industry and Security - For all items subject to the EAR. (See Â§734.4(a)(9), 734.9(e)(3) and 744.11 of the EAR)5 - 2024-12-02

DATA SOURCES

BIS Entity List <https://www.bis.gov/entity-list>

Informational only. Not legal advice. Cross-list matching is name-based — verify identity with the cited source authority before procurement, financing, or compliance decisions.

This document is a point-in-time snapshot. The Verification ID can be reproduced from the entity ID + the date of issue.

Verification ID: FEOC-23057B12968B · Entity ID: dab4d172-0a1c-4ea2-abe5-454339545d6b · Issued 2026-06-09